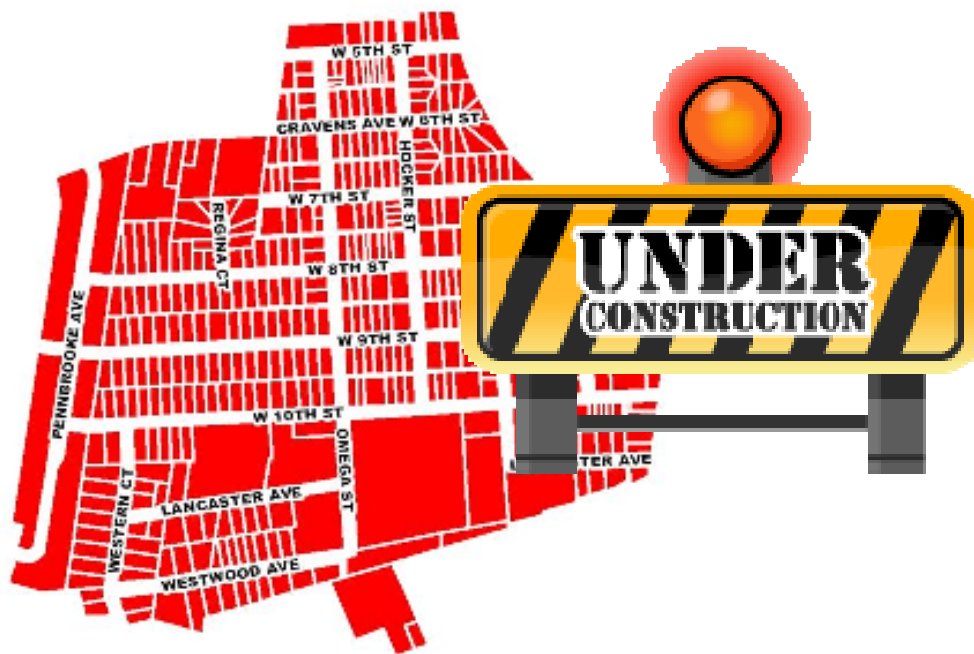


# 2011 - 2012

## Annual Action Plan

### Budget

### For CDBG & HOME Funds



## City of Owensboro, Kentucky

*Prepared By: The City of Owensboro Community Development Department*

HUD - CPMP

Approved May 2011 - Revised June 21, 2011

## Proposed CDBG Budget for FY2012

Account #	Project Description	Estimated Cost
03-6061-5730	Mechanicsville Neighborhood Signage Phase I	\$14,000
03-6061-5730	Mechanicsville Wings of Faith Church Parking Enhancement	\$35,000
03-6061-5730	DB Park Improvements (better sign & sidewalks)	\$30,000
03-6061-5730	Mechanicsville Salvage Yard Entrance Beautification	\$11,000
03-6061-5730	Mechanicsville Landscaping Grants	\$5,000
03-6061-5730	City Property along Omega Beautification (move fence)	\$15,000
		\$0
03-6061-5726	Omega Street Extension Direct Admini Project Cost	\$0
03-6061-5725	Omega Street Extension Phase II ( Construction)	\$212,000
	<b><i>Mechanicsville NEIGHBORHOOD Special Projects Subtotal</i></b>	<b>\$322,000</b>
03-6061-5712	Homeowner -Homebuyer Rehab Program (includes Mechanicsville NRSA)	\$90,399
03-6061-5707	Homeowner - Homebuyer Rehabilitation Program Administration	\$5,000
	<b><i>CD Housing Programs Subtotal</i></b>	<b>\$95,399</b>
03-6061-5706	Community General Development Planning & Administration	\$97,000
	<b><i>Planning &amp; Administration Subtotal</i></b>	<b>\$97,000</b>
<b>Total 2011-2012 CDBG Budget</b>		<b>\$514,399</b>
<b>Total 2011-2012 Estimated Revenues</b>		<b>\$514,399</b>
2011-2012 CDBG GRANT <b>New Allocation 5-3-2011 Notice</b>		\$494,399
2011- 2012 ESTIMATED CDBG INCOME (Income from Parking & Tourism Lease)		\$20,000
		\$0

## Proposed HOME Budget for FY2012

Account #	Project Description	Estimated Cost
13-6062-4522	Homebuyer Program (includes Dugan Best NRSA)	\$241,296
13-6062-4528	CHDO Set Aside (required 15% Set Aside)	\$48,259
13-6062-4523	HOME Administration	\$32,172
	(No match due to carryover balance of Match) Required City Match (12.5% Match of HOME funds)	\$0
<b>Total 2011-2012 HOME Budget</b>		<b>\$321,727</b>
<b>Total 2011-2012 Estimated Revenues</b>		<b>\$321,727</b>
2011-2012 HOME GRANT _ U.S. DHUD <b>New Allocation 5-3-2011 Notice</b>		\$321,727
2011-2012 CITY'S MATCH OBLIGATION (required 12.5% Match)		0



# SF 424 (2011-2012)

The SF 424 is part of the CPMP Annual Action Plan.

**REVISED**

## SF 424

Complete the fillable fields (blue cells) in the table below. The other items are pre-filled with values from the Grantee Information Worksheet.

Date Submitted May 15, 2011	Applicant Identifier City of Owensboro, Kentucky	<b>Type of Submission</b>	
Date Received by state	State Identifier	<b>Application</b>	<b>Pre-application</b>
Date Received by HUD	Federal Identifier	<input checked="" type="checkbox"/> Construction	<input type="checkbox"/> Construction
		<input checked="" type="checkbox"/> Non Construction	<input type="checkbox"/> Non Construction
<b>Applicant Information</b>			
<b>City of Owensboro</b>		KY211680 OWENSBORO	
P. O. Box 10003		074-068-941 DUNS #	
0		City of Owensboro	
Owensboro	Kentucky	Community Development Department	
42302-9003	USA	Community Development	
<b>Employer Identification Number (EIN):</b>		Daviss	
<b>61-6001888</b>		7/1	
<b>Applicant Type:</b>		<b>Specify Other Type if necessary:</b>	
Local Government: City		Specify Other Type	
<b>Program Funding</b>		<b>U.S. Department of Housing and Urban Development</b>	
Catalogue of Federal Domestic Assistance Numbers; Descriptive Title of Applicant Project(s); Areas Affected by Project(s) (cities, Counties, localities etc.); Estimated Funding			
<b>Community Development Block Grant</b>		14.218 Entitlement Grant	
CDBG Project Titles Continuation of LMI Housing Programs, Planning & Administration, Business Incentives, Neighborhood Redevelopment Projects and Public Improvement Projects.		Description of Areas Affected by CDBG Project(s) Target Area is located within all contiguous LMI Census Tracts within the Northern 1/3 of the City.	
\$CDBG Grant Amount <b>\$494,399</b>	\$Additional HUD Grant(s) Leveraged	Describe N/A	
\$Additional Federal Funds Leveraged \$0		\$Additional State Funds Leveraged \$0	
\$Locally Leveraged Funds \$0		\$Grantee Funds Leveraged \$0	
\$Anticipated Program Income <b>\$20,000 Program Income</b>		Other (Describe)	
Total Funds Leveraged for CDBG-based Project(s) <b>\$514,399</b>			
<b>Home Investment Partnerships Program</b>		14.239 HOME	
HOME Project Titles Continuation of HOME Homebuyer Program, Rental Assistance and Down Payment Assistance Program.		Description of Areas Affected by HOME Project(s) Target Area is located within all contiguous LMI Census Tracts within the Northern 1/3 of the City.	

\$HOME Grant Amount <b>\$321,727</b>	\$Additional HUD Grant(s) Leveraged	Describe N/A
\$Additional Federal Funds Leveraged \$0		\$Additional State Funds Leveraged \$0
\$Locally Leveraged Funds \$0		\$Grantee Funds Leveraged \$0
\$Anticipated Program Income \$0		Other (Describe) N/A
Total Funds Leveraged for HOME-based Project(s) <b>\$321,727</b>		

<b>Housing Opportunities for People with AIDS</b>		14.241 HOPWA
HOPWA Project Titles N/A		Description of Areas Affected by HOPWA Project(s)
\$HOPWA Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged
\$Locally Leveraged Funds		\$Grantee Funds Leveraged
\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for HOPWA-based Project(s)		

<b>Emergency Shelter Grants Program</b>		14.231 ESG
ESG Project Titles N/A		Description of Areas Affected by ESG Project(s)
\$ESG Grant Amount	\$Additional HUD Grant(s) Leveraged	Describe
\$Additional Federal Funds Leveraged		\$Additional State Funds Leveraged
\$Locally Leveraged Funds		\$Grantee Funds Leveraged
\$Anticipated Program Income		Other (Describe)
Total Funds Leveraged for ESG-based Project(s)		

Congressional Districts of:		Is application subject to review by state Executive Order 12372 Process?	
Applicant Districts SECOND	Project Districts SECOND	<input type="checkbox"/> Yes	This application was made available to the state EO 12372 process for review on DATE
Is the applicant delinquent on any federal debt? If "Yes" please include an additional document explaining the situation.		<input checked="" type="checkbox"/> No	Program is not covered by EO 12372
<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	<input type="checkbox"/> N/A	Program has not been selected by the state for review

Person to be contacted regarding this application		
Keith	L.	Free
Community Development Director	270-687-8658	270-687-8395
freek1@owensboro.org	www.owensboro.org	Mike L. Volk
Signature of Authorized Representative		Date Signed



# City of Owensboro 2011 - 2012 Annual Action Plan

(May 2011)

The CPMP Annual Action Plan Includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

## Narrative Responses



### ACTION PLAN

Annual Action Plan includes the SF 424 and is due every year no less than 45 days prior to the start of the grantee's program year start date (July 1, 2011).

#### Executive Summary 91.220(b)

This Document is entitled Action Plan for the 2012 Fiscal Year (2011 – 2012), represents the 2<sup>nd</sup> year of implementation of the **2010-2015 Consolidated Plan** required by the Department of Housing and Urban Development (HUD). The City of Owensboro is an entitlement community for the Community Development Block Grant (CDBG) Program and a Participating Jurisdiction for the HOME investment Partnership Program (HOME). The focus on redeveloping existing low to moderate income neighborhoods located within the northern third of Owensboro has been extremely effective use of resources over the past 10 years. Improving the quality of life within these neighborhoods will remain the driving focus for expenditure of funds over the next 5 years.

2011 - 2012 Community Development Block Grant (CDBG) Available (estimated):	\$494,399
2011 – 2012 CDBG Program Income:	\$ 20,000
<b>2011 – 2012 CDBG Total Available (estimated):</b>	<b>\$514,399</b>

**2011 – 2012 HOME Grant Funds Total Available (estimated):** **\$321,727**

#### Citizen Participation 91.220(b)

The City of Owensboro has utilized a Citizens Advisory Committee on Community Development since the inception of the CDBG program to recommend community development and housing programs and strategies to the Mayor and City Commission. The Owensboro Community Development Department administers all HUD funding. To insure that citizen participation requirements are met is part of this responsibility. Two public hearings are held after being advertised in the Owensboro Messenger-Inquirer newspaper. A 30 day comment period on the draft Annual Action Plan is provided prior to the second hearing. Numerous telephone conversations and or meetings were held with city agencies or departments; as well as housing, financial, social service, neighborhood, and human service providers or advocates.

During the 30-day comment period Community Development Department staff will be available to meet with any interested party concerning the Consolidated Plan. Persons wishing to comment on the City of

Owensboro's 2011-2012 Annual Action Plan may forward their comments to:

Mr. Keith L. Free, MPA, AICP, Community Development Director  
City of Owensboro  
P.O. Box 10003  
Owensboro, Kentucky 42302-9003  
or phone: (270) 687-8658 or for the hearing impaired TDD/Voice Response (270) 687-4444

**Summary of February 28, 2011 Public Hearing #1:**

The hearing was held and the City gave an overview of activities from the previous year. The City detailed potential projects that could be funded in the upcoming year to implement the Mechanicsville Neighborhood Redevelopment Plan. No comments or proposals were submitted from the public.

**Summary of April 11, 2011 Public Hearing #2:**

A Public Hearing was held to obtain comments from the Public on the proposed 2011-2012 Annual Action Plan. A transcript of the meeting is available in the CD Office. The Citizen Advisory Committee on Community Development voted to recommend the Plans for approval to the Owensboro City Commission. The recommendation was based on estimated grant funds at the time with the understanding that projects would be adjusted based upon actual HOME and CDBG funding received (this was due to the prolonged process in approving the federal budget).

**Summary of May 10, 2011 City Commission Meeting:**

The City Commission Approved the 2011 - 2012 Annual Action Plan (Municipal Order # 7-2011)

The City of Owensboro Community Development Department administers all federal funds the City receives from the US Department of Housing and Urban Development (HUD). For twenty-six years the expenditure of these funds have been used to implement the broad strategies that have been contained in the community's comprehensive plans. In an effort to consolidate the planning and application requirements for the various programs, HUD requires communities to prepare and submit this Annual Action Plan document. The planning and application requirements for the Community Development Block Grant (CDBG), HOME Investment Partnership (HOME), Emergency Shelter Grant (ESG), and Housing Opportunities for Persons with AIDS (HOPWA) programs are met with this document. CDBG and HOME are the two formula programs that are received directly by the City of Owensboro from HUD. Funding from these other programs are sought through the Commonwealth of Kentucky's allocation (ESG) or through a competitive process (HOPWA). This document will primarily address the two formula allocations the City receives (CDBG and HOME). This Annual Action Plan was created using the HUD CPMP software.

The federal statutes for these grant programs set forth three basic goals which are closely related to the major commitments and priorities of HUD. All expenditures must meet one of these three goals and primarily benefit low- and very low-income persons.

1. Provide Decent Housing - Included within this broad goal are the following:
  - a. assist homeless persons to obtain affordable housing;
  - b. retain the affordable housing stock,
  - c. increase the availability of permanent housing that is affordable to low-income Americans without discrimination;
  - d. and increase supportive housing that includes structural features and services to enable persons with special needs to live in dignity.

## **Resources 91.220(c)(1) and (c)(2)**

Total estimated CDBG funds available for FY 2012 (July 1, 2011 to June 30, 2012) are \$514,399. Of this total \$20,000 will be CDBG program income and \$494,399 will be CDBG funds. HOME funding is estimated to total \$321,727. Please refer to the Proposed Budget Sheet for more detail.

The City leverages private funds by requiring the owners of residential properties assisted through their housing programs to fund a certain share of the improvements with their own resources. When facility improvements are funded, the City often will only participate in joint efforts and is seldom the sole funding source for an improvement at a facility not owned by the City.

## **Monitoring 91.230**

The City has established a geographic information system (GIS) for the community. The GIS system and our housing rehab database will increase our ability to monitor and evaluate success or failure. Census data will remain important, as will the continued willingness of the various actors in the housing process to coordinate and refer their needs and problems with each other and with the local government agencies responsible for seeking funds and solutions.

## Annual Objectives 91.220(c)(3)

*\*If using the COMP Tool: Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls*

Goals and objectives to be carried out during the 2011-2012 Annual Action Plan period are indicated by boxes that are checked.

X	<b>Objective Category Decent Housing</b> <i>Which includes:</i>	X	<b>Objective Category: Expanded Economic Opportunities</b> <i>Which Includes:</i>	<b>Objective Category: Expanded Economic Opportunities</b> <i>Which includes:</i>
	assisting homeless persons obtain affordable housing	X	improving the safety and livability of neighborhoods	Job creation and retention
	assisting persons at risk of becoming homeless	X	eliminating blighting influences and the deterioration of property and facilities	establishment, stabilization and expansion of small business (including micro-businesses)
X	retaining the affordable housing stock		increasing the access to quality public and private facilities	the provision of public services concerned with employment
X	Increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability		reducing the Isolation of income groups within areas through spatial deconcentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	the provision of Jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
	Increasing the supply of supportive housing which Includes structural features and services to enable persons with special needs (including persons with HIV/ADOS) to live in dignity and independence		restoring and preserving properties of special historic, architectural, or aesthetic value	availability of mortgage financing for low Income persons at reasonable rates using nondiscriminatory lending practices
X	providing affordable housing that is accessible to Job opportunities		conserving energy resources and use of renewable energy sources	access to capital and credit for development activities that promote the longterm economic social viability of the community

Objectives to achieve over the next year with funding will be in the category of maintaining and creating affordable housing within the community. The objectives of expanding economic opportunities have been addressed with the Mechanicsville Neighborhood Redevelopment Strategy Area Plan by improving the livability in neighborhoods and eliminating blighting influences.

## Description of Activities 91.220(d) and (e)

*\*If using the CPMP Tool: Complete and submit the Projects Worksheets and the Summaries Table.*

In to continue the implementation of the approved Mechanicsville Neighborhood Redevelopment, the projects outlined on the following charts will be undertaken in 2011 - 2012:

<b>Project</b>	<b>Outcome</b>	<b>2011-2012 CDBG Funding</b>
Mechanicsville Neighborhood Signage Phase I	Construct one (1) neighborhood Entrance Signs	<b>\$14,000</b>
Mechanicsville - Dugan Best Park Improvements	Install new signage for Dugan Best Recreational Center and replace sidewalks along West 10 <sup>th</sup> Street and Omega Street along Park Property	<b>\$30,000</b>
Mechanicsville Salvage Yard Entrance Beautification	Install Visual Enhancements at the intersection of West 10 <sup>th</sup> Street & Lancaster Avenue	<b>\$11,000</b>
Mechanicsville Neighborhood Landscaping Grants	50/50 Matching Grant to Property Owners to Improve Front Yard Landscaping	<b>\$5,000</b>
Mechanicsville - Facilities Property Beautification	Improve Visual Appearance of City Property at the Corner of Omega Street & Westwood Avenue	<b>\$15,000</b>
Mechanicsville Wings of Faith Church Parking Safety Enhancement	Relocate the existing Parking Lot located at Omega Street & West 10 <sup>th</sup> Street to Improve Safety	<b>\$35,000</b>
Mechanicsville Omega Street Construction Phase II	Additional Construction Cost for Omega Street Extension (from Westwood Avenue to McFarland Avenue).	<b>\$212,000</b>
CDBG Homeowner Rehab – Homebuyer Program	Renovate 18 – 26 Existing Low to Moderate Income Homeowner Homes within the Mechanicsville Neighborhood (also includes World Changers)	<b>\$90,399</b>
CDBG Homeowner Rehab Administration	Administration Cost for Housing Rehab Program	<b>\$5,000</b>
CDBG General Planning & Administration	General Administrative Cost for Community Development Department	<b>\$97,000</b>
	<b>2011- 2012 CDBG TOTALS</b>	<b>\$514,399</b>

<b>Project</b>	<b>Outcome</b>	<b>2011-2012 HOME Funding</b>
HOME Homebuyer Program	Construct six (6) New Homes within the Mechanicsville Neighborhood	<b>\$241,296</b>
HOME CHDO Homebuyer Program	Construct one (1) New Home within the Mechanicsville Neighborhood with GRADD CHDO	<b>\$48,259</b>
HOME Administration	Administration Cost for Homebuyer Program	<b>32,172</b>
	<b>2011- 2012 HOME TOTALS</b>	<b>\$321,727</b>

These Improvements will use the limited funds that are available in the most effective way to address our priority needs as identified in the approved 2010 – 2015 Consolidated Plan. The federal funds used for housing will leverage the maximum amount of private funds to complete these homes. Typical subsidies will range from \$25,000 to \$55,000 per home. All of the proposed projects will conform to the following outcomes:

- decent housing,
- suitable living environment,
- economic opportunity and;
- availability/accessibility, affordability, sustainability.

### **Geographic Distribution/Allocation Priorities 91.220(d) and (1)**

All funds will be used within the contiguous low to moderate Income census tracts located within the northern 1/3 of the City. Special preference will be given for areas that have approved Neighborhood Redevelopment Plans, such as the Old Germantown District and Mechanicsville. The limited amounts of funds are concentrated within these areas due to the high level of need that exist within these neighborhoods. The Program Target area contains the concentration of the lowest Income persons in the city, as well as the highest concentration of minorities, distressed housing and neighborhoods.

### **Annual Affordable Housing Goals 91.220(g)**

No funds, during the 2011- 2012 Plan year are being directed to the following: homeless, non-homeless, and special-needs households. No funding is scheduled for new or renovated Rental housing during the next year from the CDBG and HOME funds. Funding will be used for existing homeowner renovation and new home construction for low to moderated income families in order to implement the Mechanicsville Neighborhood Redevelopment Strategy Area Plan.

### **Public Housing 91.220(h)**

No CDBG or HOME Funds will be expended in the upcoming year to address needs of public housing tenants. The City will continue to work closely with the Housing Authority of Owensboro (HOA) to create partnerships and share Ideas. The HOA is a high performing Housing Agency and has maintained such a status for many years.

### **Homeless and Special Needs 91.220(1)**

The City will not be using any of its limited HOME and CDBG funding in the upcoming year to address homeless needs. The City will continue to support and work with local homeless providers to address the needs of homeless and chronic homeless persons in the community. The City will continue to participate in the Green River Continuum of Care to address the needs of homeless persons.

## **Barriers to Affordable Housing 91.220(j)**

The Analysis of Impediments to Fair Housing Document was updated in March 2010. In discussing possible barriers that exist within our community with the providers of housing and services to low to moderate income persons the following was noted as potential barriers: Lack of ability of low mod families to save the needed down payment and closing cost associated with purchasing a home. Lack of the presence of a landlord-tenant Ordinance that would give further protection to renters within the community. More Homeownership training seminars need to be held in low income areas.

An Analysis of Impediments to Fair Housing was completed and circulated to appropriate local organizations, the City Commission, and local financial institutions in 2010. The analysis did not identify any major impediments to fair housing choice in the community. The analysis did identify that a Tenant/Landlord Ordinance should be reviewed for possible implementation within the community. This Ordinance would give needed protection to renters from arbitrarily being evicted from their homes.

The Analysis indicated a positive trend in regard to fair housing choice in the community. The overall minority population increased their proportion of the total tract population in eleven (11) of the twelve (12) census tracts considered. The one remaining tract had a population percentage that was virtually even with the 1990 Census. The white population numbers decreased in 9 of the 12 census tracts considered. With the construction of several large subdivisions in the county, it appears to support a continuing trend of movement to the suburbs. Four (4) of the twelve (12) census tracts that historically housed a majority of our minorities (CT1, CT2, CT4 & CT6) continues to contain the majority (74.8%) of all minorities in the community in 2000. CT1 did indicate a very slight decrease in minority population numbers (755 down to 745) No single tract contains a representative percentage of the community's total minority population.

Lending practices, once a loan application is made appear adequate. The City is committed to Promoting Fair Housing in the community. The following items will be undertaken to further fair housing in Owensboro:

1. The CD Office will conduct neighborhood meetings to educate low/mod residents about housing programs.
2. Promote the availability and the price of homes in the Homebuyer Program.
3. The CD Office will aggressively seek out training for fair housing in association with the CDBG and HOME Programs.
4. The CD Office will insure the placement of the equal housing logo on all for sale sign and on all paperwork and in-house forms.
5. The CD Office will continue to encourage minority and female owned contractors to participate in Housing Rehab Programs.
6. The City of Owensboro will continue to provide funding to the Owensboro Human Relations Commission.

Current and past efforts need to be maintained and strengthened. Census, Home Mortgage Disclosure Act, and public policy data should continue to be evaluated as information becomes available or apparent problems arise.

## **Other Actions (Lead Based Paint, Communication, Minority & Women Business Outreach)** **91.220(k)**

All housing rehab programs will continue to incorporate the HUD lead based paint requirement to identify and eliminate lead based paint. Testing and mitigation plans will be completed by certified individuals. The City will continue to communicate and work closely with all assisted housing, service providers, government officials and transportation officials to address the needs of all low to moderated Income residents.

The City of Owensboro Community Development Department is committed to a good faith, comprehensive and continuing outreach efforts to attract minority and women owned businesses and encourage their involvement in HOME related procurements. Outreach practices include the following:

- Seek out relationships with women and minority organizations to obtain information about local minority and women owned businesses and “spread the word” about upcoming contracting opportunities.
- Include the Equal Opportunity Logo on all press release materials relating to contracting needs.
- Use local media and local City Cable Access Channel 75 to market and promote contract and business opportunities to targeted businesses as possible.
- Insure and maintain procurement procedures that facilitate opportunities for MBE’s and WBE’s to participate as vendors, suppliers of goods and services.
- Sponsored and/or participated in conferences, or career fairs to promote opportunities for minority and women owned businesses.

## **PROGRAM SPECIFIC REQUIREMENTS**

### **CDBG 91.220(l)(1)**

A total of \$20,000 is estimated to be received in CDBG Program Income in the upcoming year. This revenue is generated from rental of the Allen Street Parking lot and lease of the Tourism Offices.

### **HOME 91.220(l)(1)**

HOME funds will be used for Homebuyer Programs and will use the Recapture Provision of the regulations. This Homebuyer Program determines the period of affordability to be based on the difference from the appraised value and the sale price of the home (generally from \$1,000 - \$14,000). Any funds that are expended above the appraised value are considered development cost and are not considered to be a direct benefit to the Homebuyer. All units are sold within the fair housing guidelines established by HUD. Special care will be taken to ensure that the homes are marketed to minorities within the community. The following is detailed information relating to the Resale provisions of the Owensboro Homebuyer Program:

- **Resale After Five Years.** The owner may resell the property anytime after the 5-year term of this agreement has expired without obligation or penalty to the City of Owensboro.

- **Resale Before Five Years**. If the owners(s) resell this property before the 5-year term of this agreement expires; the owner(s) are subject to recapture, by the City of Owensboro, of the direct home owner's assistance money originally invested.
- **Recapture Formula**. The City of Owensboro will receive 90% of every dollar the property sells for above the original purchase price, plus closing cost and documented property improvements until the amount of direct home owner's assistance is recovered. Once the full amount of direct home owner's assistance is recovered by the City of Owensboro, the owner(s) keep 100% of the sales proceeds.
- **Termination**. These covenants & restrictions shall remain in effect for the 5-year term or, upon occurrence of any of the following termination events: Foreclosure, transfer in lieu of foreclosure or assignment of a FHA insured mortgage to HUD.
- **Enforcement**. The covenants & restrictions shall run with the land and, to the fullest extent permitted by law and equity, shall be binding on the owner, its heirs, successors and assigns. The City of Owensboro shall be entitled to (a) institute legal action to enforce performance and observance of these covenants, (b) enjoin any acts which are violative of these covenants, and (c) exercise and other legal or equitable right or remedy with respect to these covenants.

### **HOPWA 91.220(I)(3)**

The City will not be using any of the limited HOME and CDBG Funding In the upcoming year to address these needs. No local organizations are receiving HPWA Funds next year.

### **Other Forms of Investment 92.205**

The City will not be investing any of the limited CDBG or HOME funds for other forms of investment. The City will not be using any HOME funds for Tenant Based Rental Assistance (TBRA). It is possible that the City could use CDBG or HOME funds to acquire property that could be used later to partner with a Low Income Tax Credit (LIHTC) Developer to create unique lease option single family rental that would convert to homeownership.

### **Refinancing Cost 92.206(b)**

The City will not be using any of the available CDBG or HOME funds for refinancing any existing debt.

### **HOME Affordability Periods 92.254(a)(4)**

Any HOME assisted housing will meet the affordability requirements based upon direct Homeownership Assistance per unit (under \$15,000 – 5 years, \$15,000 to \$40,000 – 10 years, \$40,001 and over – 15 years, beginning after the project is completed).

### **Forms of Assistance 91.205(b)**

The City will use grants for all forms of assistance.

The City will continue with the Implementation of  
The Mechanicsville Neighborhood  
Revitalization Strategy Area (NRSA) Plan

<b>Project Name:</b> Omega Street Extension Project (Phase III Construction) (2011-2012)					
<b>Description:</b> Extend Omega Street 650 lft to Washington Avenue within Low to Moderate Income Neighborhood (Mechanicsville)	<b>IDIS Project #:</b> <b>UOG Code:</b> KY211680 OWENSBORO				
<b>Location:</b> Omega Street - Mechanicsville NRSA					
<b>Priority Need Category</b>	Select one: Infrastructure				
<b>Expected Completion Date:</b> 12/1/2006	<b>Explanation:</b> Construct Omega Street Extension to increase access to Mechanicsville Neighborhood. This will also increase emergency response to the general area.				
<b>Objective Category</b>	<input type="checkbox"/> Decent Housing <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Economic Opportunity				
<b>Outcome Categories</b>	<input checked="" type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability				
<b>Specific Objectives</b>					
1	Improve quality / increase quantity of neighborhood facilities for low-income persons				
2					
3					
<b>Project-level Accomplishments</b>	11 Public Facilities	Proposed	1	11 Public Facilities	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
<b>Proposed Outcome</b>		<b>Performance Measure</b>		<b>Actual Outcome</b>	
650 lft of new Street		Completed Transportation Improvement			
03K Street Improvements 570.201(c)		Matrix Codes		Matrix Codes	
Matrix Codes		Matrix Codes		Matrix Codes	
Matrix Codes		Matrix Codes		Matrix Codes	
<b>Program Year 1</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 2</b>	CDBG	Proposed Amt.	212000	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 3</b>	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 4</b>	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 5</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	11 Public Facilities	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units



New Project

CPMP Version 2.0

Grantee Name: City of Owensboro

<b>Project Name:</b>	HOME ADMINISTRATION (2011-2012)		
<b>Description:</b>	<b>IDIS Project #:</b>	<b>UOG Code:</b>	KY211680 OWENSBORO
DIRECT ADMIN EXPENSES FOR HOME HOUSING PROGRAM			

<b>Location:</b> N/A	<b>Priority Need Category</b> <b>Select one:</b> Owner Occupied Housing
<b>Explanation:</b>	

<b>Expected Completion Date:</b> 6/30/2012	DIRECT HOME ADMIN
<b>Objective Category</b>	
<input checked="" type="checkbox"/> Decent Housing	
<input type="checkbox"/> Suitable Living Environment	
<input type="checkbox"/> Economic Opportunity	

<b>Specific Objectives</b>	
Outcome Categories	1 Increase the availability of affordable owner housing
<input checked="" type="checkbox"/> Availability/Accessibility	2 Improve the quality of owner housing
<input checked="" type="checkbox"/> Affordability	3
<input checked="" type="checkbox"/> Sustainability	

<b>Project-level Accomplishments</b>	Other	Proposed	0	Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete

<b>Proposed Outcome</b>	<b>Performance Measure</b>	<b>Actual Outcome</b>
N/A	N/A	

14H Rehabilitation Administration 570.202	Matrix Codes
Matrix Codes	Matrix Codes
Matrix Codes	Matrix Codes

<b>Program Year 1</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 2</b>	HOME	Proposed Amt.	32,172	Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 3</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 4</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 5</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	



New Project

CPMP Version 2.0

Grantee Name: City of Owensboro

<b>Project Name:</b> HOME HOMEBUYER PROGRAM (2011-2012)		<b>UOG Code:</b> KY211680 OWENSBORO			
<b>Description:</b>		<b>IDIS Project #:</b>			
GRANTS TO ENCOURAGE THE CONSTRUCTION OR RENOVATION OF HOMES TO BE SOLD TO LMI PERSONS THAT ARE AT LEAST 80% BELOW THE MEDIAN INCOME. UNITS LOCATED WITHIN APPROVED NEIGHBORHOOD REDEVELOPMENT AREAS (MECHANICSVILLE) WILL RECEIVE PRIORITY. ALSO MAY INCLUDE GRANTS TO LMI PERSONS TO PURCHASE HOMES WITHIN THE TARGET AREA.					
<b>Location:</b> TARGET PROGRAM AREA & MECHANICSVILLE NRSA		<b>Priority Need Category:</b> Select one: Owner Occupied Housing			
<b>Explanation:</b>					
<b>Expected Completion Date:</b> 6/30/2012		HOME HOMEBUYER PROGRAM			
<b>Objective Category:</b> <input checked="" type="checkbox"/> Decent Housing <input type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Economic Opportunity		<b>Specific Objectives:</b>			
<b>Outcome Categories:</b> <input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input type="checkbox"/> Sustainability		1 Increase the availability of affordable owner housing			
		2 Improve the quality of owner housing			
		3 Improve access to affordable owner housing			
<b>Project-level Accomplishments</b>	10 Housing Units	Proposed	6	Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
	Accompl. Type:	Proposed		Accompl. Type:	Proposed
		Underway			Underway
		Complete			Complete
<b>Proposed Outcome</b>		<b>Performance Measure</b>		<b>Actual Outcome</b>	
6 HOMES		6 HOMES			
12 Construction of Housing 570.201(m)		Matrix Codes			
14A Rehab; Single-Unit Residential 570.202		Matrix Codes			
13 Direct Homeownership Assistance 570.201(n)		Matrix Codes			
<b>Program Year 1</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 2</b>	HOME	Proposed Amt.	241,296	Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 3</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 4</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	10 Housing Units	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
<b>Program Year 5</b>	HOME	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.
		Actual Amount			Actual Amount
	10 Housing Units	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units
		Actual Units			Actual Units

New Project

CPMP Version 2.0

Grantee Name: City of Owensboro

<b>Project Name:</b>	CDBG PLANNING & ADMINISTRATION (2011-2012)		
<b>Description:</b>	<b>IDIS Project #:</b>	<b>UOG Code:</b>	KY211680 OWENSBORO
GENERAL PLANNING AND ADMIN, OVERSIGHT AND COORDINATION OF THE CD PROGRAM.			

<b>Location:</b> N/A	<b>Priority Need Category</b>
	Select one: Planning/Administration
<b>Explanation:</b>	

<b>Expected Completion Date:</b> 6/30/2012	GENERAL PLANNING AND ADMIN
<b>Objective Category</b>	
<input type="checkbox"/> Decent Housing	
<input checked="" type="checkbox"/> Suitable Living Environment	
<input type="checkbox"/> Economic Opportunity	

<b>Specific Objectives</b>	
Outcome Categories	1
<input type="checkbox"/> Availability/Accessibility	2
<input type="checkbox"/> Affordability	3
<input checked="" type="checkbox"/> Sustainability	

<b>Project-level Accomplishments</b>	Other	Proposed		Accompl. Type:	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type:	Proposed		Accompl. Type:	Proposed	
		Underway			Underway	
		Complete			Complete	

<b>Proposed Outcome</b>	<b>Performance Measure</b>	<b>Actual Outcome</b>
N/A	N/A	

21A General Program Administration 570.206	Matrix Codes
Matrix Codes	Matrix Codes
Matrix Codes	Matrix Codes

<b>Program Year 1</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 2</b>	CDBG	Proposed Amt.	97000	Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 3</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 4</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 5</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	

New Project

CPMP Version 2.0

Grantee Name: **City of Owensboro**

<b>Project Name:</b>	CDBG HOUSING REHAB ADMIN (2011-2012)		
<b>Description:</b>	<b>IDIS Project #:</b>	<b>UOG Code:</b>	KY211680 OWENSBORO

CDBG

<b>Location:</b> PROGRAM TARGET AREA	<b>Priority Need Category</b> <b>Select one:</b> Owner Occupied Housing
<b>Explanation:</b>	

<b>Expected Completion Date:</b> 6/30/2012	REHAB ADMIN
<b>Objective Category</b>	
<input checked="" type="checkbox"/> Decent Housing	
<input type="checkbox"/> Suitable Living Environment	
<input type="checkbox"/> Economic Opportunity	

<b>Specific Objectives</b>	
Outcome Categories	1 Improve the quality of owner housing
<input checked="" type="checkbox"/> Availability/Accessibility	2
<input type="checkbox"/> Affordability	3
<input type="checkbox"/> Sustainability	

<b>Project-level Accomplishments</b>	Other	Proposed		Accompl. Type:	Proposed	
		Underway			Underway	
		Complete			Complete	
	Accompl. Type:	Proposed		Accompl. Type:	Proposed	
		Underway			Underway	
		Complete			Complete	

<b>Proposed Outcome</b>	<b>Performance Measure</b>	<b>Actual Outcome</b>
N/A	N/A	

14H Rehabilitation Administration 570.202	Matrix Codes
Matrix Codes	Matrix Codes
Matrix Codes	Matrix Codes

	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
<b>Program Year 1</b>	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Accompl. Type:	Proposed Units		Accompl. Type:	Proposed Units	
		Actual Units			Actual Units	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 2</b>	CDBG	Proposed Amt.	5000	Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 3</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 4</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
<b>Program Year 5</b>	CDBG	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
	Fund Source:	Proposed Amt.		Fund Source:	Proposed Amt.	
		Actual Amount			Actual Amount	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	
		Proposed Units			Proposed Units	
		Actual Units			Actual Units	

New Project

CPMP Version 2.0

Grantee Name: City of Owensboro

<b>Project Name:</b> CDBG EXISTING HOMEOWNER & HOMEBUYER PROGRAM (2011-2012)	
<b>Description:</b>	<b>IDIS Project #:</b> <b>UOG Code:</b> KY211680 OWENSBORO
GRANTS FOR THE CONSTRUCTION OR RECONSTRUCTION OF RESIDENTIAL STRUCTURES WITHIN THE PROGRAM AREA. GRANT TO LMI PERSONS TO RENOVATE THEIR HOMES. DEMOLITION OF BLIGHTED STRUCTURES. PRIORITY GIVEN TO ASSISTANCE WITHIN AN APPROVED NEIGHBORHOOD REDEVELOPMENT AREA (MECHANICSVILLE). ALSO INCLUDES POSSIBLE HOMEBUYER PROJECTS. INCLUDES WORLD CHANGERS AND OTHER PROJECTS THAT WORK WITH VOLUNTEERS TO RENOVATE HOMES	
<b>Location:</b> CT'S 2,3,4,5, CT1 BG1 & 3, CT 6 BG 1-4, CT9 BG1	<b>Priority Need Category:</b> <b>Select one:</b> Owner Occupied Housing
<b>Expected Completion Date:</b> 6/30/2012	<b>Explanation:</b> LMI HOUSING REHABILITATION
<b>Objective Category:</b> <input checked="" type="checkbox"/> Decent Housing <input type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Economic Opportunity	<b>Specific Objectives:</b>
<b>Outcome Categories:</b> <input checked="" type="checkbox"/> Availability/Accessibility <input checked="" type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability	1 Improve the quality of owner housing 2 3
<b>Project-level Accomplishments</b>	10 Housing Units <b>Proposed</b> 20 Underway Complete Accompl. Type: <b>Proposed</b> Underway Complete Accompl. Type: <b>Proposed</b> Underway Complete
<b>Proposed Outcome</b>	<b>Performance Measure</b> <b>Actual Outcome</b>
REHAB 20 HOMES	REHAB 20 HOMES
14A Rehab; Single-Unit Residential 570.202	Matrix Codes
13 Direct Homeownership Assistance 570.201(n)	Matrix Codes
Matrix Codes	Matrix Codes
<b>Program Year 1</b>	<b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b>
<b>Program Year 2</b>	<b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> 90,399 <b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b>
<b>Program Year 3</b>	<b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b>
<b>Program Year 4</b>	<b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b>
<b>Program Year 5</b>	<b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> <b>Fund Source:</b> <b>Proposed Amt.</b> <b>Actual Amount</b> 10 Housing Units <b>Proposed Units</b> <b>Actual Units</b> <b>Accompl. Type:</b> <b>Proposed Units</b> <b>Actual Units</b>

New Project

CPMP Version 2.0

Grantee Name: City of Owensboro

<b>Project Name:</b> MECHANICSVILLE NEIGHBORHOOD REDEVELOPMENT (2011-2012)	
<b>Description:</b>	<b>IDIS Project #:</b> <b>UOG Code:</b> KY211680 OWENSBORO
IMPLEMENTATION OF THE MECHANICSVILLE NEIGHBORHOOD REDEVELOPMENT PLAN. ACQUISITION OF BLIGHTED AND VACANT PROPERTIES FOR RESIDENTIAL REDEVELOPMENT. NEIGHBORHOOD SIGNAGE PHASE I. DUGAN BEST PARK SIGNAGE AND SIDEWALK IMPROVEMENTS. SALVAGE YARD VISUAL ENHANCEMENT AT INTERSECTION OF LANCASTER AVE AND WEST 10TH STREET. LANDSCAPING GRANTS FOR EXISTING HOMEOWNERS WITHIN NRSA. BEAUTIFICATION IMPROVEMENT OF CITY PROPERTY AT INTERSECTION OF WESTWOOD AVENUE AND OMEGA STREET. WINGS OF FAITH PARKING LOT RELOCATION FOR	
<b>Location:</b>	<b>Priority Need Category</b>
MECHANICSVILLE NEIGHBORHOOD REVITALIZATION STRATEGY AREA. LOCATED WITHIN CENSUS TRACT #1 Block Group #300 & CENSUS TRACT #6 Block Group #100.	Select one: Infrastructure
<b>Expected Completion Date:</b>	<b>Explanation:</b>
6/30/2012	Acquisition of properties identified in the Mechanicsville Neighborhood Revitalization Strategy Area Plan in order to construct new homes. Also implementation of several improvements that have been approved in the Mechanicsville Neighborhood Redevelopment Plan
<b>Objective Category</b>	<b>Specific Objectives</b>
<input type="checkbox"/> Decent Housing <input checked="" type="checkbox"/> Suitable Living Environment <input type="checkbox"/> Economic Opportunity	1 Improve the quality of owner housing 2 Improve quality / increase quantity of neighborhood facilities for low-income persons 3 Improve access to affordable owner housing
<b>Outcome Categories</b>	
<input type="checkbox"/> Availability/Accessibility <input type="checkbox"/> Affordability <input checked="" type="checkbox"/> Sustainability	
<b>Project-level Accomplishments</b>	
11 Public Facilities	Proposed 4 Underway Complete
Accompl. Type:	Proposed Underway Complete
Accompl. Type:	Proposed Underway Complete
<b>Proposed Outcome</b>	<b>Performance Measure</b>
Complete 5 improvement projects	Complete 5 NRSA Improvement Projects
01 Acquisition of Real Property 570.201(a)	08 Relocation 570.201(i)
04 Clearance and Demolition 570.201(d)	03E Neighborhood Facilities 570.201(c)
03L Sidewalks 570.201(c)	03F Parks, Recreational Facilities 570.201(c)
<b>Program Year 1</b>	
CDBG	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units
<b>Program Year 2</b>	
CDBG	Proposed Amt. 110000 Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units
<b>Program Year 3</b>	
CDBG	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units
<b>Program Year 4</b>	
Fund Source:	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units
<b>Program Year 5</b>	
Fund Source:	Proposed Amt. Actual Amount
Fund Source:	Proposed Amt. Actual Amount
Accompl. Type:	Proposed Units Actual Units
Accompl. Type:	Proposed Units Actual Units

## CERTIFICATIONS

In accordance with the applicable statutes and the regulations governing the consolidated plan regulations, the jurisdiction certifies that:

**Affirmatively Further Fair Housing** -- The jurisdiction will affirmatively further fair housing, which means it will conduct an analysis of impediments to fair housing choice within the jurisdiction, take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain records reflecting that analysis and actions in this regard.

**Anti-displacement and Relocation Plan** -- It will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR 24; and it has in effect and is following a residential Antidisplacement and relocation assistance plan required under section 104(d) of the Housing and Community Development Act of 1974, as amended, in connection with any activity assisted with funding under the CDBG or HOME programs.

**Drug Free Workplace** -- It will or will continue to provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;
2. Establishing an ongoing drug-free awareness program to inform employees about –
  - (a) The dangers of drug abuse in the workplace;
  - (b) The grantee's policy of maintaining a drug-free workplace;
  - (c) Any available drug counseling, rehabilitation, and employee assistance programs; and
  - (d) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;
3. Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph 1;
4. Notifying the employee in the statement required by paragraph 1 that, as a condition of employment under the grant, the employee will -
  - (a) Abide by the terms of the statement; and
  - (b) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;
5. Notifying the agency in writing, within ten calendar days after receiving notice under subparagraph 4(b) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to every grant officer or other designee on whose grant activity the convicted employee was working, unless the Federal agency has designated a central point for the receipt of such notices. Notice shall include the identification number(s) of each affected grant;

6. Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph 4(b), with respect to any employee who is so convicted:
  - (a) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - (b) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1, 2, 3, 4, 5 and 6.


**Anti-Lobbying --** To the best of the jurisdiction's knowledge and belief:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of it, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement;
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, it will complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions; and
3. It will require that the language of paragraph 1 and 2 of this anti-lobbying certification be included in the award documents for all sub awards at all tiers (including subcontracts, sub grants, and contracts under grants, loans, and cooperative agreements) and that all sub recipients shall certify and disclose accordingly.

**Authority of Jurisdiction --** The consolidated plan is authorized under State and local law (as applicable) and the jurisdiction possesses the legal authority to carry out the programs for which it is seeking funding, in accordance with applicable HUD regulations.

**Consistency with plan --** The housing activities to be undertaken with CDBG, HOME, ESG, and HOPWA funds are consistent with the strategic plan.

**Section 3 --** It will comply with section 3 of the Housing and Urban Development Act of 1968, and implementing regulations at 24 CFR Part 135.

  
Mayor Ron Payne / 5/05/2011

## Specific CDBG Certifications

The Entitlement Community certifies that:

**Citizen Participation** -- It is in full compliance and following a detailed citizen participation plan that satisfies the requirements of 24 CFR 91.105.

**Community Development Plan** -- Its consolidated housing and community development plan identifies community development and housing needs and specifies both short-term and long-term community development objectives that provide decent housing, expand economic opportunities primarily for persons of low and moderate income. (See CFR 24 570.2 and CFR 24 part 570)

**Following a Plan** -- It is following a current consolidated plan (or Comprehensive Housing Affordability Strategy) that has been approved by HUD.

**Use of Funds** -- It has complied with the following criteria:

1. **Maximum Feasible Priority.** With respect to activities expected to be assisted with CDBG funds, it certifies that it has developed its Action Plan so as to give maximum feasible priority to activities which benefit low and moderate income families or aid in the prevention or elimination of slums or blight. The Action Plan may also include activities which the grantee certifies are designed to meet other community development needs having a particular urgency because existing conditions pose a serious and immediate threat to the health or welfare of the community, and other financial resources are not available);
2. **Overall Benefit.** The aggregate use of CDBG funds including section 108 guaranteed loans during program year(s) , (a period specified by the grantee consisting of one, two, or three specific consecutive program years), shall principally benefit persons of low and moderate income in a manner that ensures that at least 70 percent of the amount is expended for activities that benefit such persons during the designated period;
3. **Special Assessments.** It will not attempt to recover any capital costs of public improvements assisted with CDBG funds including Section 108 loan guaranteed funds by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements. However, if CDBG funds are used to pay the proportion of a fee or assessment that relates to the capital costs of public improvements (assisted in part with CDBG funds) financed from other revenue sources, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. The jurisdiction will not attempt to recover any capital costs of public improvements assisted with CDBG funds, including Section 108, unless CDBG funds are used to pay the proportion of fee or assessment attributable to the capital costs of public improvements financed from other revenue sources. In this case, an assessment or charge may be made against the property with respect to the public improvements financed by a source other than CDBG funds. Also, in the case of properties owned and occupied by moderate-income (not low-income) families, an assessment or charge may be made against the property for public improvements financed by a source other than CDBG funds if the jurisdiction certifies that it lacks CDBG funds to cover the assessment.


**Excessive Force** -- It has adopted and is enforcing:

1. A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
2. A policy of enforcing applicable State and local laws against physically barring entrance to or exit from a facility or location which is the subject of such non-violent civil rights demonstrations within its jurisdiction;

**Compliance With Anti-discrimination laws** -- The grant will be conducted and administered in conformity with title VI of the Civil Rights Act of 1964 (42 USC 2000d), the Fair Housing Act (42 USC 3601-3619), and implementing regulations.

**Lead-Based Paint** -- Its activities concerning lead-based paint will comply with the requirements of 24 CFR Part 35, subparts A, B, J, K and R;

**Compliance with Laws** -- It will comply with applicable laws.

  
\_\_\_\_\_  
Mayor Ron Payne / 5/05/2011

## Specific HOME Certifications


The HOME participating jurisdiction certifies that:

**Tenant Based Rental Assistance** -- If the participating jurisdiction intends to provide tenant-based rental assistance:

The use of HOME funds for tenant-based rental assistance is an essential element of the participating jurisdiction's consolidated plan for expanding the supply, affordability, and availability of decent, safe, sanitary, and affordable housing.

**Eligible Activities and Costs** -- it is using and will use HOME funds for eligible activities and costs, as described in 24 CFR § 92.205 through 92.209 and that it is not using and will not use HOME funds for prohibited activities, as described in § 92.214.

**Appropriate Financial Assistance** -- before committing any funds to a project, it will evaluate the project in accordance with the guidelines that it adopts for this purpose and will not invest any more HOME funds in combination with other Federal assistance than is necessary to provide affordable housing.

  
Mayor Ron Payne / 5/05/2011

## APPENDIX TO CERTIFICATIONS

### INSTRUCTIONS CONCERNING LOBBYING AND DRUG-FREE WORKPLACE REQUIREMENTS:

#### A. Lobbying Certification

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code.

Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. \

#### B. Drug-Free Workplace Certification

1. By signing and/or submitting this application or grant agreement, the grantee is providing the certification.
2. The certification is a material representation of fact upon which reliance is placed when the agency awards the grant. If it is later determined that the grantee knowingly rendered a false certification, or otherwise violates the requirements of the Drug-Free Workplace Act, HUD, in addition to any other remedies available to the Federal Government, may take action authorized under the Drug-Free Workplace Act.
3. Workplaces under grants, for grantees other than individuals, need not be identified on the certification. If known, they may be identified in the grant application. If the grantee does not identify the workplaces at the time of application, or upon award, if there is no application, the grantee must keep the identity of the workplace(s) on file in its office and make the information available for Federal inspection. Failure to identify all known workplaces constitutes a violation of the grantee's drug-free workplace requirements.
4. Workplace identifications must include the actual address of buildings (or parts of buildings) or other sites where work under the grant takes place. Categorical descriptions may be used (e.g., all vehicles of a mass transit authority or State highway department while in operation, State employees in each local unemployment office, performers in concert halls or radio stations).
5. If the workplace identified to the agency changes during the performance of the grant, the grantee shall inform the agency of the change(s), if it previously identified the workplaces in question (see paragraph three).
6. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, zip code)

**Community Development Office / 101 East 4<sup>th</sup> Street / Owensboro / Daviess County / Kentucky / 42303**

Check if there are workplaces on file that are not identified here. The certification with regard to the drug-free workplace is required by 24 CFR part 24, subpart F.

7. Definitions of terms in the Nonprocurement Suspension and Debarment common rule and Drug-Free Workplace common rule apply to this certification. Grantees' attention is called, in particular, to the following definitions from these rules:

"Controlled substance" means a controlled substance in Schedules I through V of the Controlled Substances Act (21 U.S.C. 812) and as further defined by regulation (21 CFR 1308.11 through 1308.15);

"Conviction" means a finding of guilt (including a plea of nolo contendere) or imposition of sentence, or both, by any judicial body charged with the responsibility to determine violations of the Federal or State criminal drug statutes;

"Criminal drug statute" means a Federal or non-Federal criminal statute involving the manufacture, distribution, dispensing, use, or possession of any controlled substance;

"Employee" means the employee of a grantee directly engaged in the performance of work under a grant, including: (i) All "direct charge" employees; (ii) all "indirect charge" employees unless their impact or involvement is insignificant to the performance of the grant; and (iii) temporary personnel and consultants who are directly engaged in the performance of work under the grant and who are on the grantee's payroll. This definition does not include workers not on the payroll of the grantee (e.g., volunteers, even if used to meet a matching requirement; consultants or independent contractors not on the grantee's payroll; or employees of sub recipients or subcontractors in covered workplaces).